FATCA / CRS DECLARATION FOR INDIVIDUAL ACCOUNTS

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Part A *(All fields are mandatory)*

Section I	Details of Account Holder	
1 **Trading code:** **Client ID (To be provided only if demat account is with TSEC):**		
2 **Name of Account Holder**		
3 **Address for Tax Residence(include City, State, Country and Pin code)**		
4 **Address Type***(Tick whichever applicable)*	(a) Residential ☐ (b) Business ☐ (c) Registered Office ☐	
5 **Do you satisfy any of the criteria mentioned below?**	**Yes**	**No**
a. Citizen of any country other than India (dual / multiple) *[including Green card]*	☐	☐
b. Country of birth is any country other than India	☐	☐
c. Tax resident of ANY country / ies other than India	☐	☐
d. POA or a mandate holder who has an address outside India	☐	☐
e. Address or telephone number outside India	☐	☐
If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment		

Section II - Other information*(Please fill in BLOCK LETTERS)*

Father's name_____ *(If PAN not available, then mandatory)*

Country of Birth' _____   Place within the country of birth_____

'(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____   *Nationality_____*

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no$^\$$	Tax identification document (TIN or functional equivalent)

$^{\$}$*It is mandatory to supply a TIN or functional equivalent (in case TIN not available)if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.*

_____

	Declaration & Acknowledgement	I _____ being the beneficial owner of the account opened / to be opened with Thirani Securities Pvt Ltd(TSEC) and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed. I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the TSEC may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the TSEC does not receive a valid self-certification from me) the TSEC may be obliged to share information on my account with relevant tax authorities. Should there be any **change in any information provided by me I ensure that I will advise TSEC** promptly, **i.e., within 30 days**. Towards compliance with such laws, the TSEC may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the TSEC may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s). I also understand that the account will be reported if any one of the aforesaid FATCA / CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.
	Customer Signature	
	Date	

Office Use Section:

	Signature Verified
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Place of Verification	

_____

Thirani Securities Pvt Ltd |Todi Chambers | 4th Floor | 2 Lal Bazar Street | Kolkata 700001 |Tel 033 4005 3131
Fax No.: 033 2248 3724 | CIN: U36991WB1985PTC038780 Website: www.tseconline.com

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Instructions to the Form

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

S. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia

1	U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;
		2. Non-US passport or any non-US government issued document **evidencing nationality or citizenship** (refer list below);**AND**
		3. **Any one** of the following documents:
		  - Certified Copy of "Certificate of Loss of Nationality or
		  - Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; **or**
		  - Reason the customer did not obtain U.S. citizenship at birth

2	Residence/mailing address in a country other than India or Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below)
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** Documentary evidence (refer list below)
4	POA granted to a person with an address in a country outside India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; **OR** 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*

2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.